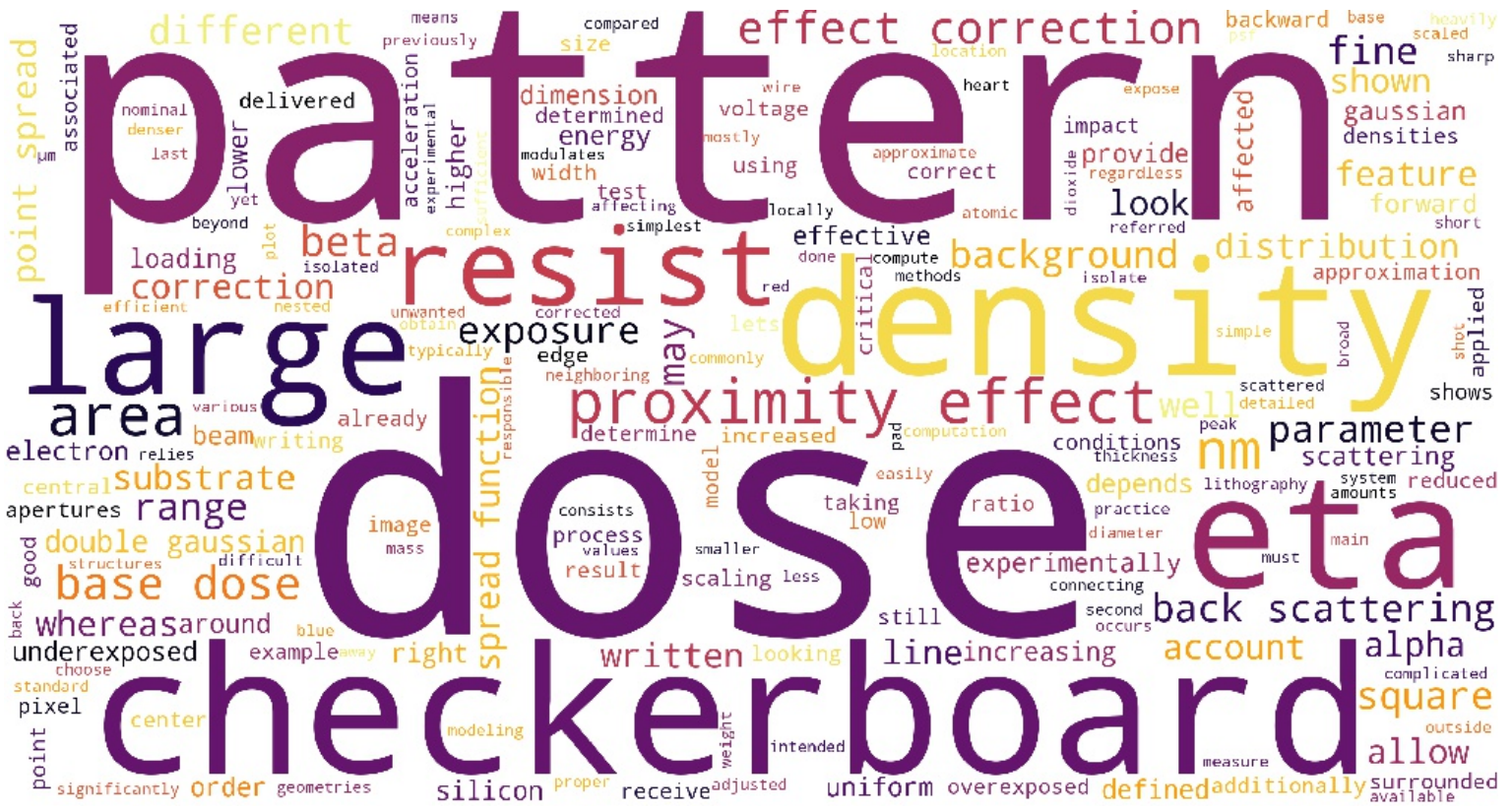
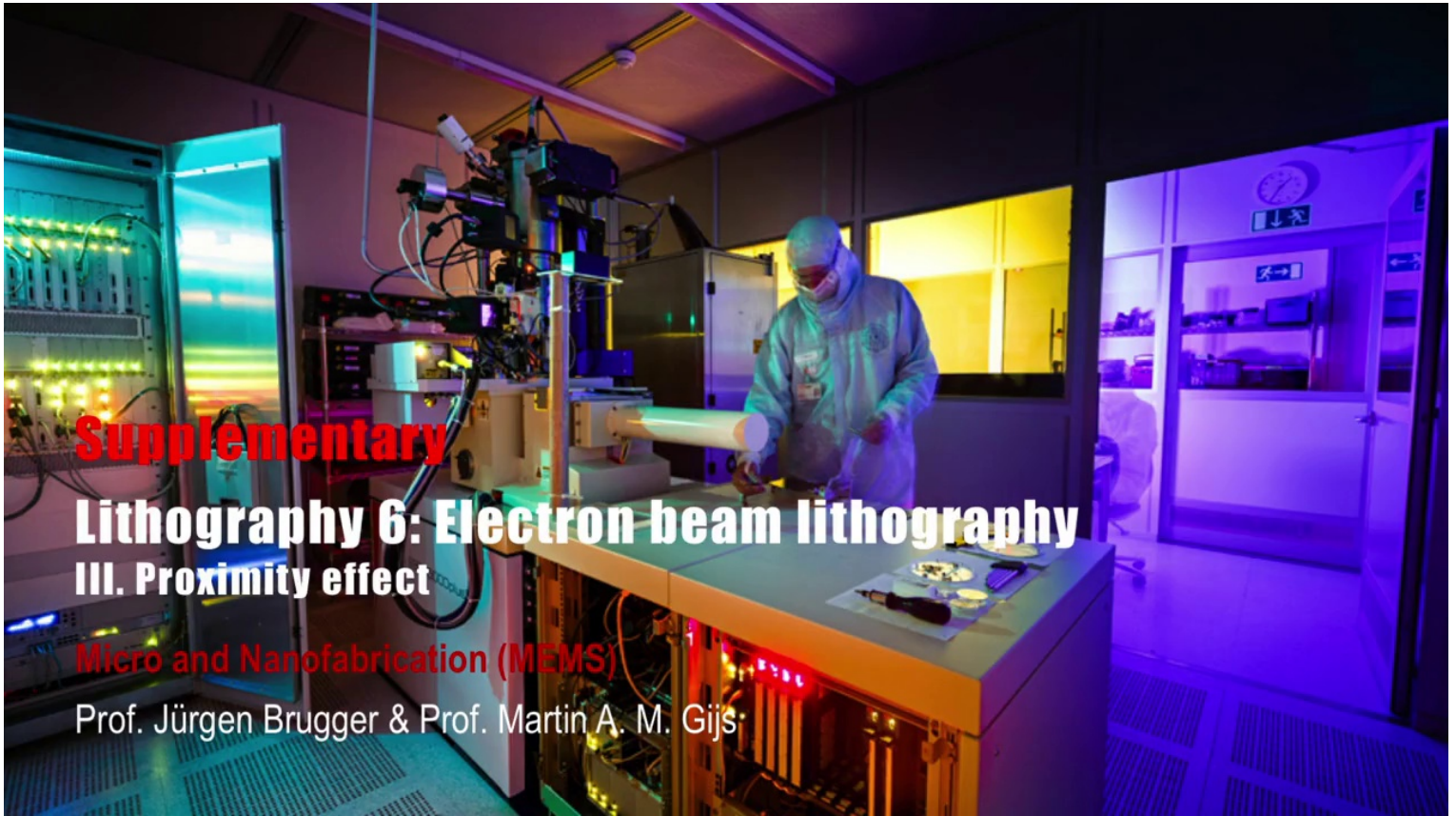




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Proximity effect corrections (PEC)

- Exposure beyond beam diameter
- The dose outside of the pattern may increase enough to expose the resist
- Small patterns of uniform density
 - PEC by adjusting dose uniformly
- Large and inhomogeneous features
 - Requires a pixel per pixel dose correction
 - A model of the beam point spread function is needed

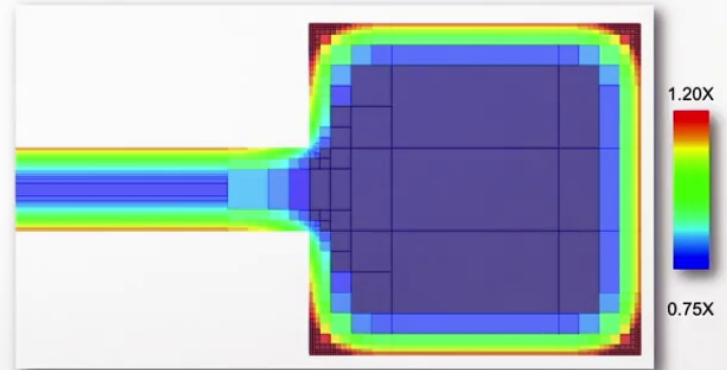


We will now have a more detailed look at the proximity effects that are at the heart of the electron beam lithography. As exposure occurs beyond the beam diameter and the impact point, the dose outside of the intended area may be sufficient to expose the resist. If the patterns are significantly smaller than the back scattering range, and uniform in density, this will result in a uniform background dose. Therefore, a simple dose scaling is applied to correct for the unwanted proximity effect. For large patterns, or complex geometries, this is more complicated.

Proximity effect corrections (PEC)

- Exposure beyond beam diameter
- The dose outside of the pattern may increase enough to expose the resist
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The color scale is the ratio to 50% nominal dose



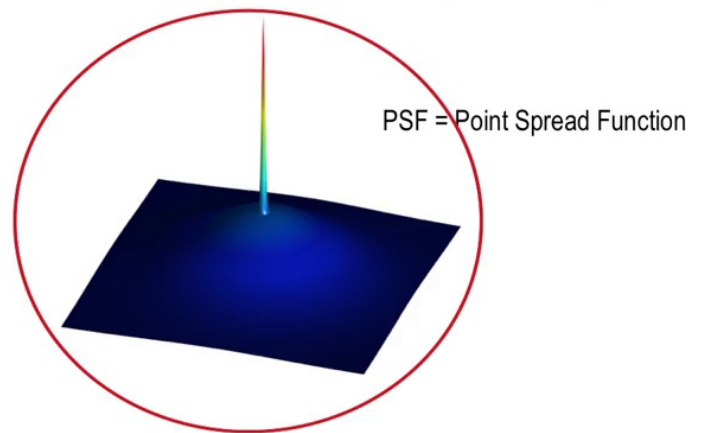
Micro and Nanofabrication (MEMS)

As seen in the image on the right, for a large pad in the order of 500 μm size and an associated connecting wire of 100 μm width, the dose is locally adjusted by proximity effect corrections. One can see for example that the dose in the edges must be increased compared to the dose at the center of the square. Red is more dose, blue is less dose. The base of these corrections relies on the modeling of energy distribution away from the beam impact point, which is commonly referred to as the point spread function or PSF.

Proximity effect corrections (PEC)

- Input parameters: beam model
 - Double Gaussian approximation: forward and backscattering
 - α : forward scattering parameter
 - Lowered with higher acceleration voltage
 - Dependent on resist thickness
 - β : backscattering parameter
 - Reduced with low Z substrate
 - Increased with higher acceleration voltage
 - η : forward/backscattered energy ratio

$$I(r) = \frac{1}{\pi(1 + \eta)} \left(\underbrace{\frac{1}{\alpha^2} e^{-\frac{r^2}{\alpha^2}}}_{\text{Forward scattering}} + \underbrace{\frac{\eta}{\beta^2} e^{-\frac{r^2}{\beta^2}}}_{\text{Backscattering}} \right)$$



Micro and Nanofabrication (MEMS)

As seen previously, two main effects are responsible for energy distribution in the substrate: forward and backward scattering. The simplest, yet efficient model to approximate the point spread function is therefore a double Gaussian. One Gaussian accounts for forward scattering and depends on the alpha parameter mostly affected by acceleration voltage, and resist thickness. The second Gaussian is defined by beta that accounts for the back scattering that heavily depends on the atomic mass Z of the substrate and acceleration voltage. A last parameter eta modulates the ratio between forward and backward scattering: if eta = 0, this means that there is no back scattering, whereas if eta = 1, it allows for equal weight of both Gaussians in the point spread function. Eta is here, and here. The 3D plot of a double Gaussian system shows well, the sharp center peak here and the broad background distribution of the back scattered electrons.

Proximity effects

- Experimental approach

- Nested patterns
 - Uniform density variations
 - Decorrelate multiple parameters
- Dose sweep
 - 50% loading dose
 - Does not depend on Eta
- Eta sweep
 - Check dose scaling vs density
- Convenient metrology
 - 250 nm & 50 nm checkerboard



If one can determine experimentally the alpha, beta and eta parameters, one can compute the effective dose delivered at each shot location, taking into account the background exposure from the neighboring pixels. Once this computation is done, the proximity effect correction consists in scaling the dose per pixel to provide a uniform, effective energy delivered on the substrate, regardless of pattern density. In practice, we choose that the 50% density patterns have the nominal base dose and are not scaled, whereas isolated features are corrected to receive a higher dose, while the patterns denser than 50% receive a lower dose.

ÉCOLE POLYTECHNIQUE
FÉDÉRALE DE LAUSANNE

-
- Experimental approach
- Nested p
 - Uniform
 - Decorrelate
- Dose
- 25
- ard



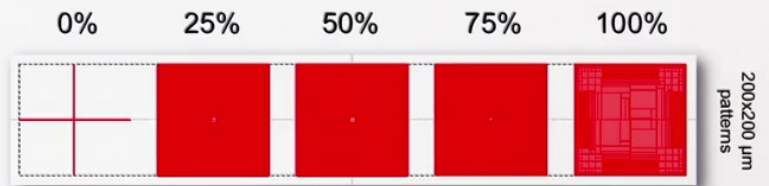
Micro and Nanofabrication (MEMS)

6

Proximity effects

- Experimental approach

- Nested patterns
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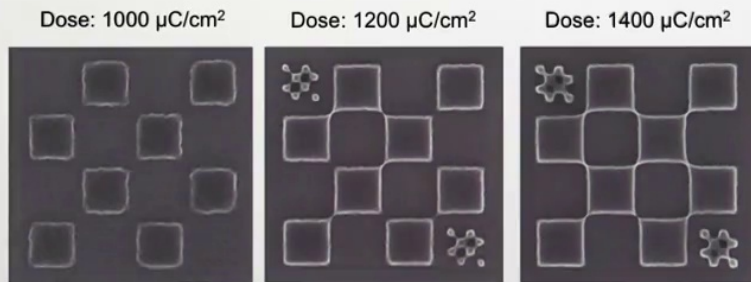
Micro and Nanofabrication (MEMS)

The test patterns used our checkerboards, like shown here, the 250 nm squares and 50 nm squares, that provide a good basis for the measurement of critical dimensions. They are surrounded by periodic patterns of varying density with an extent greater than the back scattering range in order to reproduce different background conditions. So this checkerboard is surrounded by zero patterns around, this one is completely filled 100% with writing area and this is a 50% writing area coverage around the checkerboard test pattern. Knowing alpha and beta from literature, the user will run software proximity effect corrections on these patterns for a wide range of eta and will write the patterns for different doses to perform metrology and identify optical proximity effect correction conditions.

Proximity effects: base dose

- HSQ 6% (negative resist) 150nm thick

- 50% density base dose
- Loading pattern line width
- Fine features: checkerboard



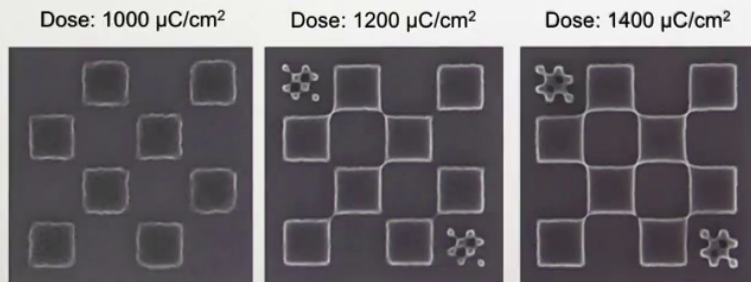
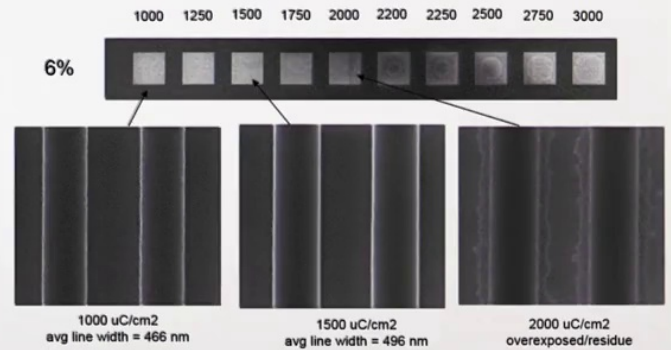
At 50% density, the effective dose in the central pattern region is not affected by the choice of either. In fact, when performing proximity effect corrections the dose is augmented or reduced for lower or higher densities only. The 50% pattern shown on the previous slide will therefore be used to determine our base dose. Three levels of features allow for the assessment of the base dose: the dimensions of the loading lines, as well as the checkerboards with a square edge length of 250 nm and 50 nm,

Proximity effects: base dose

- HSQ 6% (negative resist) 150nm thick

- 50% density base dose
- Loading pattern line width
- Fine features: checkerboard

SEM of 500 nm line/space
6% HSQ



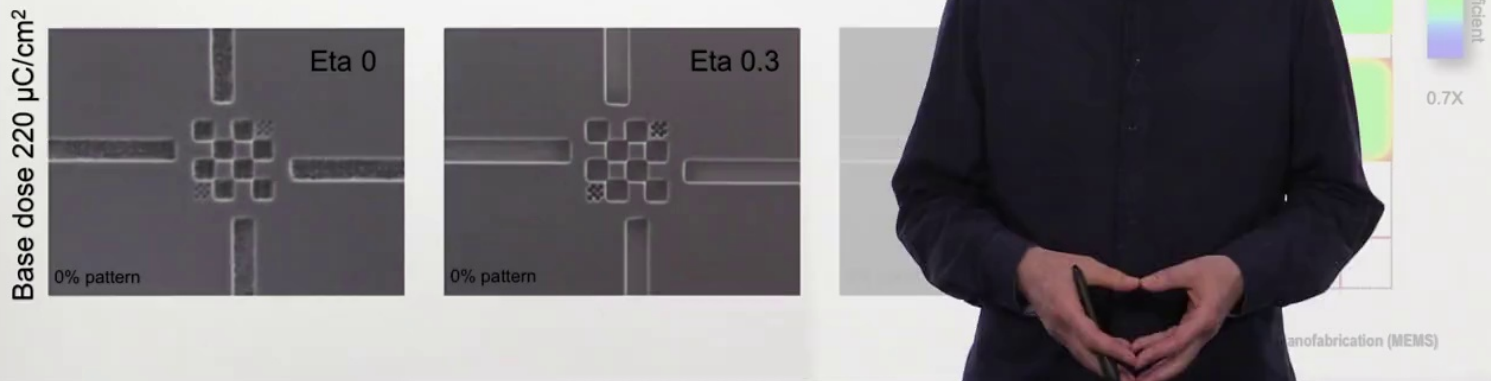
Micro and Nanofabrication (MEMS)

like shown here. Let's now look at some real examples using HSQ resist. First, looking at the image at the top right, here the base dose is determined by measuring the line width of the 50% density pattern. When underexposed, 1000 $\mu\text{C}/\text{cm}^2$, the lines are narrow whereas when overexposed, residues of line broadening are observed. If looking at the central checkerboard one can see that when underexposed, the small checkerboard is absent and gradually appears and widens with the increasing dose. It is important to note that our double Gaussian model is an approximation for the electron distribution during exposure. Additionally, development may be affected by feature size and aspect ratio, and that due to the finite contrast and process latitude of the resist, the perfect critical dimension at all scales may be hard to reach. This is already apparent for the checkerboard pattern between dose 1200 and 1400. At the lower dose, the apertures in the fine checkerboard are well defined, whereas the blanks in the large checkerboard are too large. For the higher dose, the apertures in the large checkerboard are reduced, although still too large, but the fine checkerboard is already showing signs of overexposure. Additionally, we only tune the dose of the written areas but cannot physically apply a negative dose in the non written areas that suffer from background exposure. In a way, we are here limited to a dose leveling rather than a true correction.

Proximity effects: Eta

- CSAR (positive resist)

- 50% density base dose: $220 \mu\text{C}/\text{cm}^2$
- Inspection of 0 %, 25 %, 75 % and 100% loading
- Process window limits



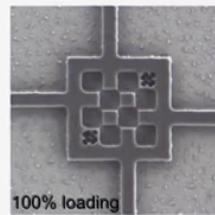
Once the base dose is extracted from the 50% density patterns, the eta parameter may be investigated. Let's now have a look at this for CSAR, a positive resist with a base dose of $220 \mu\text{C}/\text{cm}^2$.

Proximity effects: Eta

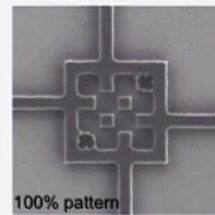
- CSAR (positive resist)

- 50% density base dose: $220 \mu\text{C}/\text{cm}^2$
- Inspection of 0 %, 25 %, 75 % and 100% loading
- Process window limits

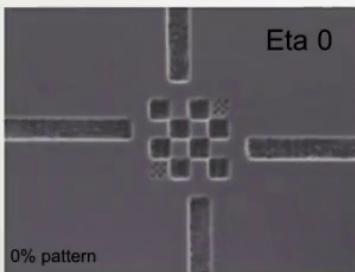
D220 / Eta 0.3



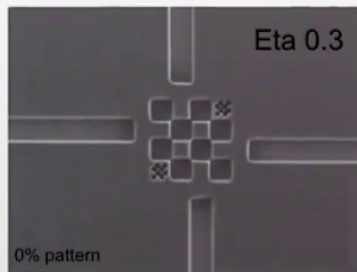
D265 / Eta 0.3



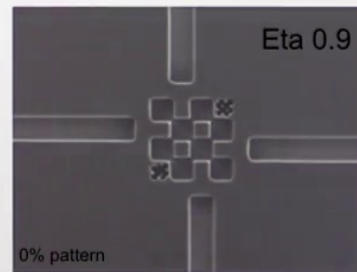
Base dose $220 \mu\text{C}/\text{cm}^2$



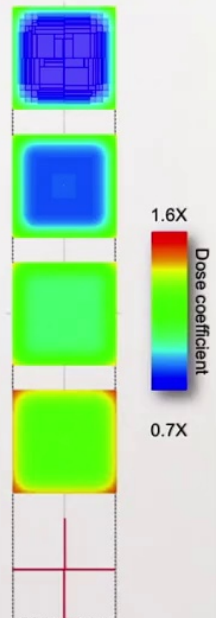
Eta 0



Eta 0.3



Eta 0.9



Micro and Nanofabrication (MEMS)

Inspecting the 0% loading, one can see that at $\eta=0$ the feature is still full of undeveloped resist, like shown here. At $\eta=0$, hence, there is no compensation to boost the dose for the low density pattern and the resist is therefore underexposed. As η is increased, a back scattering contribution is assumed and the dose in low density areas slowly rises. At $\eta=0.3$, the fine checkerboard is very well defined, you can see here. By increasing η further to 0.9, we can see the collapse of the fine checkerboard and the separation of the large 250 nm squares, slightly overexposed. On the right you can see how the dose correction is applied for the different patterns and associated density. It is interesting to know that if you look at the 100% loading pattern, the $\eta=0.3$ at 220 base dose provides good results on the checkerboard. But the large area written around shows a lot of remaining resist scum. Increasing the dose further clears the large areas but the fine patterns are distorted. This is again another illustration of process window limitations. Proximity effect corrections should preferably be performed taking into account the actual density of the target patterns and shape corrections, or bias may be used to further correct the exposure.